

## **International Survey Of Investment Adviser Regulation**

The Cumulative Book Index  
The Investment Company Act of 1940 and the Investment Advisers Act of 1940, Other  
Investment Management Regulations  
Current Publications in Legal and Related Fields  
Martindale-Hubbell International  
Dispute Resolution Directory  
Electronic Theft  
Index to Legal Periodicals & Books  
Martindale Hubbell Law Directory  
2000  
Recent Acquisitions  
Federal Securities Law Reporter  
Litigating International Investment Disputes  
Regulation of  
Investment Advisers  
International Survey of Investment Adviser Regulation  
European Legal Book Index  
Regulation of  
Investment Advisers  
Australian Property Journal  
Mutual Funds Guide  
Comparative Survey of Securities Laws  
SEC  
Docket  
International and Comparative Corporate Law Journal  
Chambers Guide to the Legal Profession  
Derivatives Law and  
Regulation  
International Portfolio Management  
The SEC Speaks in Protecting Investors  
International Books in Print  
Proceedings  
of the Annual Meeting  
International Survey of Investment Adviser Regulation  
Swiss Law Bibliography  
International Survey of  
Investment Adviser Regulation  
The American Bar  
Martindale-Hubbell International Law Directory  
Investor and Industry  
Perspectives on Investment Advisers and Broker-Dealers  
International Financial News Survey  
Proceedings of the Annual  
Meeting  
Private Real Estate Markets and Investments  
Investment Adviser's Legal and Compliance Guide, 3rd Edition  
Law  
Books in Print: Author index  
Martindale Hubbell Law Directory  
Chambers UK.  
Selected Acquisitions

### **The Cumulative Book Index**

A world list of books in the English language.

### **The Investment Company Act of 1940 and the Investment Advisers Act of 1940, Other Investment Management Regulations**

### **Current Publications in Legal and Related Fields**

### **Martindale-Hubbell International Dispute Resolution Directory**

### **Electronic Theft**

The investment management industry has seen explosive growth worldwide. As securities markets around the globe continue their development, many investment advisers seek access to an international client base and crossborder investments. These trends, plus the significant impact of the Internet as a business medium, make the regulation of investment advisers increasingly complex and important. Recently, many countries have either implemented or substantially revised their investment adviser regulations, posing new challenges for advisers with an international business focus. In this environment, knowledge of regulatory schemes around the world is essential.

### **Index to Legal Periodicals & Books**

### **Martindale Hubbell Law Directory 2000**

### **Recent Acquisitions**

The Section on Business Law of the International Bar Association is greatly indebted to the Editor, J. Michael Robinson and to John Gauntlett, the Chairman of the Committee on Issues and Trading in Securities, and his Vice Chairmen, Blaise Pasztory, Robert Briner and the members of the Committee who have contributed, for their joint efforts in preparing this first book of their committee. It will make a valuable addition to the libraries of all practising lawyers because it has been written by practising lawyers, with the knowledge and experience of their own daily work and the understanding of what a practitioner is looking for. I am confident that this book will prove of real assistance to practitioners world-wide, as have previous publications of other Committees of the Section on Business Law. I wish it great success. I hope that you may wish to join the Section on Business Law and thereby make contact and work with lawyers with similar interests in commercial law. WALTER OPPENHOF Chairman of the Section on Business Law XI Editor's Introduction I have great pleasure in presenting reports from fourteen countries. In the best tradition of many institutions of higher learning which trace their origins to some medieval ale house, this project has its genesis in a bar.

### **Federal Securities Law Reporter**

### **Litigating International Investment Disputes**

In theory, financial professionals are relatively distinct: A broker-dealer conducts transactions in securities on behalf of itself

and others; and an investment adviser provides advice to others regarding securities. Different laws regulate each type of professional, but boundaries have blurred. This report examines current business practices and investor understanding of each type.

## **Regulation of Investment Advisers**

## **International Survey of Investment Adviser Regulation**

## **European Legal Book Index**

Investment Adviser's Legal and Compliance Guide

## **Regulation of Investment Advisers**

## **Australian Property Journal**

## **Mutual Funds Guide**

## **Comparative Survey of Securities Laws**

## **SEC Docket**

## **International and Comparative Corporate Law Journal**

## **Chambers Guide to the Legal Profession**

## **Derivatives Law and Regulation**

## **International Portfolio Management**

The investment management industry has seen explosive growth worldwide. As securities markets around the globe continue their development, many investment advisers seek access to an international client base and crossborder investments. These trends, plus the significant impact of the Internet as a business medium, make the regulation of investment advisers increasingly complex and important. Recently, many countries have either implemented or substantially revised their investment adviser regulations, posing new challenges for advisers with an international business focus. In this environment, knowledge of regulatory schemes around the world is essential. Now in its second edition, International Survey of Investment Adviser Regulation is an unparalleled current guide to the regulatory systems in 29 jurisdictions. Each chapter represents the contribution of preeminent practitioners. A clear, uniform presentation permits easy comparisons among the different regulatory systems. International Survey of Investment Adviser Regulation allows investment advisers, regulators, lawyers and others in the investment management industry to evaluate the time, cost and legal considerations that will bear on strategic decisions to provide investment advisory services in specific countries. It also provides a guide for those engaged in the study of comparative regulatory systems as well as for policymakers who seek to improve and harmonize the regulation of investment advisers worldwide.

## **The SEC Speaks in**

edited by Marcia L. MacHarg Partner, Debevoise & Plimpton, Washington, DC, USA Roberta R.W. Kameda Associate, Debevoise & Plimpton, Washington, DC, USA

## **Protecting Investors**

## **International Books in Print**

**Proceedings of the Annual Meeting**

**International Survey of Investment Adviser Regulation**

**Swiss Law Bibliography**

**International Survey of Investment Adviser Regulation**

**The American Bar**

**Martindale-Hubbell International Law Directory**

**Investor and Industry Perspectives on Investment Advisers and Broker-Dealers**

**International Financial News Survey**

Litigating International Investment Disputes: A Practitioner's Guide serves as a comprehensive and straightforward resource for those who are new to international investment arbitration, as well as for seasoned practitioners.

**Proceedings of the Annual Meeting**

**Private Real Estate Markets and Investments**

This book provides a straightforward and up-to-date analysis of the wide range of issues surrounding the use of derivatives

in common law countries. It is the only work of its kind that focuses on derivatives law and regulation in Australia, New Zealand, Singapore, Malaysia and Hong Kong. Topics covered include: the nature of derivatives key legal issues standard market documentation derivatives regulation recent market developments regulatory reform This work will be of significant interest to bankers, lawyers, regulators and academics wanting to gain a better understanding of this complex subject.

### **Investment Adviser's Legal and Compliance Guide, 3rd Edition**

#### **Law Books in Print: Author index**

This book provides an overview of private real estate markets and investments. The 14 chapters are divided into three sections for conventional and alternative real estate investments and regulatory issues. Conventional investable real assets examined are retail spaces, apartments, offices, and industrial facilities owned by corporate entities. Alternative real estate assets are uniquely and extensively addressed. These include healthcare, both for facilities and the pricing to make it an investable asset; infrastructure contains roads, bridges, and public utilities; and resources are in land, agriculture, oil, and gas. The regulatory section includes appraisal and valuation, brokerage and transaction costs, sustainability, and green buildings. Readers should gain a greater appreciation of what is needed to be successful when investing in private real estate markets. The share of real estate in institutional portfolios has risen above a previous 5% target, as investors avoid the risks of low interest rates. The world's wealth is shifting to emerging markets where real estate is already a dominant asset class and public securities markets are limited. Institutions with long horizons avoid publicly traded markets because they want to capture any premium from illiquidity. Real estate involves local and cultural restrictions on land usage, sustainability and on the regulation of the illiquidity. For information about public real estate, read Public Real Estate Markets and Investments.

#### **Martindale Hubbell Law Directory**

Electronic Theft names, describes and analyses the range of electronic and digital theft.

#### **Chambers UK.**

#### **Selected Acquisitions**



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